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Federal Agencies

December 15, 2006

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Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

**Art Unit 2622**

**Attn: Mail Stop Amendment**

Re: U.S. Patent Application  
Application No. 10/791,686; Filed: March 3, 2004  
For: **Television Functionality on a Chip**  
Inventors: BAER *et al.*  
Our Ref: 1875.3970001

Sir:

Transmitted herewith for appropriate action are the following documents:

1. Information Disclosure Statement;
2. Form PTO/SB/08A (4 sheets) listing cited documents US1-US69 and FP1;
3. Form PTO/SB/08B (1 sheet) listing cited documents NPL1-NPL4;
4. Copies of cited documents FP1 and NPL1-NPL4; and
5. A return postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier.

In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

Commissioner for Patents  
December 15, 2006  
Page 2

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

A handwritten signature in black ink, appearing to read "Michael D. Specht". The signature is fluid and cursive, with the first name "Michael" and last name "Specht" clearly distinguishable.

Michael D. Specht  
Attorney for Applicants  
Registration No. 54,463

MDS/DTS/tdd  
Enclosures

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

BAER *et al.*

Appl. No.: 10/791,686

Filed: March 3, 2004

For: **Television Functionality on a Chip**

Confirmation No.: 2602

Art Unit: 2622

Examiner: Hsia, Sherrie Y.

Atty. Docket: 1875.3970001

**Information Disclosure Statement**

***Mail Stop Amendment***

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Sir:

Listed on accompanying IDS Forms are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98.

Where the publication date of a listed document does not provide a month of publication, the year of publication of the listed document is sufficiently earlier than the effective U.S. filing date and any foreign priority date so that the month of publication is not in issue. Applicants have listed publication dates on the attached IDS Forms based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may

not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith.

Applicants have checked the appropriate boxes below.

- ☐ 1. Statement under 37 C.F.R. 1.704(d). Each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of this information disclosure statement.
- ☒ 2. Filing under 37 C.F.R. § 1.97(b). This Information Disclosure Statement is being filed within three months of the date of filing of a national application other than a continued prosecution application (CPA), OR within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application, OR before the mailing date of a first Office Action on the merits OR before the mailing of a first Office Action after the filing of a request for continued examination under 37 C.F.R. § 1.114. No statement or fee is required.
- ☐ 3. Filing under 37 C.F.R. § 1.97(c). This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date

of the first Office Action on the merits, but before the mailing date of a Final Rejection, or Notice of Allowance, or an action that otherwise closes prosecution in the application.

- ☐ a. Statement under 37 C.F.R. § 1.97(e)(1). I hereby state that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(1).
- ☐ b. Statement under 37 C.F.R. § 1.97(e)(2). I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2).
- ☐ c. Attached is our PTO-2038 Credit Card Payment Form in the amount of \$\_\_\_\_\_ in payment of the fee under 37 C.F.R. § 1.17(p).
- ☐ 4. Filing under 37 C.F.R. § 1.97(d) This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee.

Enclosed find our PTO-2038 Credit Card Payment Form in the amount of \$ \_\_\_\_\_ in payment of the fee under 37 C.F.R. § 1.17(p); in addition:

- ☐ a. Statement under 37 C.F.R. § 1.97(e)(1). I hereby state that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(1).
- ☐ b. Statement under 37 C.F.R. § 1.97(e)(2). I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2).
- ☐ 5. The documents was/were cited in a search report by a foreign patent office in a counterpart foreign application. Submission of an English language version of the search report that indicates the degree of relevance found by the foreign office is provided in satisfaction of the requirement for a concise explanation of relevance. 1138 OG 37, 38.
- ☐ 6. A concise explanation of the relevance of the non-English language document(s) appears below in accordance with 37 C.F.R. § 1.98(a)(3).

- ☒ 7. Copies of documents US1-US69, FP1 and NPL1-NPL4 are submitted. However, in accordance with 37 C.F.R. § 1.98(a)(2), no copies of U.S. patents and patent application publications cited on the attached IDS Forms are submitted.
- ☐ 8. Copies of the documents were cited by or submitted to the Office in an IDS that complies with 37 C.F.R. § 1.98(a)-(c) in Application No. \_\_\_\_\_, filed \_\_\_\_\_, which is relied upon for an earlier filing date under 35 U.S.C. § 120. Thus, copies of these documents are not attached. 37 C.F.R. § 1.98(d).
- ☐ 9. It is expected that the examiner will review the prosecution and cited art in the parent application no(s). \_\_\_\_\_ in accordance with MPEP 2001.06(b), and indicate in the next communication from the office that the art cited in the earlier prosecution history has been reviewed in connection with the present application.

It is respectfully requested that the Examiner initial and return a copy of the enclosed IDS Forms, and indicate in the official file wrapper of this patent application that the documents have been considered.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

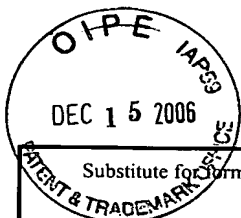
A handwritten signature in black ink, appearing to read "Michael D. Specht".

Michael D. Specht  
Attorney for Applicants  
Registration No. 54,463

Date: 12/15/06

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Equivalent of Form PTO/SB/08A (09-06)

Approved for use through 03/31/2007. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Substitute for Form 1449/PTO				<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use as many sheets as necessary)				Application Number	10/791,686
				Filing Date	March 3, 2004
				First Named Inventor	David A. BAER
				Art Unit	2622
				Examiner Name	Hsia, Sherrie Y.
Sheet	1	of	4	Attorney Docket Number	1875.3970001

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	US1	6,147,713	11-14-2000	Robbins et al.	
	US2	6,445,726 B1	09-2002	R. Gharpurey	
	US3	6,005,640	04-1998	Strolle	
	US4	5,031,233	07-1991	Regan	
	US5	5,715,012	02-1998	Patel et al.	
	US6	5,737,035	04-1998	Rotzoll	
	US7	5,519,443	05-1996	Salomon et al.	
	US8	4,712,131	12-1987	T. Tanabe	
	US9	5,859,442	01-1999	T.A. Manning	
	US10	6,199,182 B1	03-2001	L.D. Whetsel	
	US11	6,378,093 B1	04-2002	L.D. Whetsel	
	US12	6,646,460 B2	11-2003	L.D. Whetsel	
	US13	4,493,077	01-1985	Agrawal et al.	
	US14	5,235,600 A	08-1993	R. Edwards	
	US15	5,621,651 A	04-1997	G.L. Swoboda	
	US16	5,812,562 A	09-1998	S. Baeg	
	US17	6,452,435 B1	09-2002	Skergen et al.	
	US18	6,539,497 B2	03-2003	Swoboda et al.	
	US19	6,760,866 B2	07-2004	Swoboda et al.	
	US20	6,861,867 B2	03-2005	West et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
	FP1	1,244,007 A2	09-25-2002	EP		
	FP2					
	FP3					
	FP4					
	FP5					
	FP6					
	FP7					
	FP8					
	FP9					

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	10/791,686
				Filing Date	March 3, 2004
				First Named Inventor	David A. BAER
				Art Unit	2622
Examiner Name				Hsia, Sherrie Y.	
Attorney Docket Number				1875.3970001	
Sheet	2	of	4		

U.S. PATENT DOCUMENTS					
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		Number-Kind Code <sup>2</sup> (If Known)			
	US21	5,271,023 A	12-1993	J.H. Norman	
	US22	5,283,903 A	02-1994	I. Uehara	
	US23	5,491,787 A	02-1996	S.H. Hashemi	
	US24	5,572,663 A	11-1996	K. Hosaka	
	US25	6,101,319 A	08-2000	J.N. Hall	
	US26	6,584,560 B1	06-2003	Kroun et al.	
	US27	5,790,873 A	08-1998	Popper et al.	
	US28	5,878,264 A	03-1999	Z. Ebrahim	
	US29	6,584,571 B1	06-2003	H.T.S. Fung	
	US30	6,789,183 B1	09-2004	Smith et al.	
	US31	6,002,726	12-1999	Simanapalli et al.	
	US32	2003/0085993	05-2003	Trimbee et al.	
	US33	6,154,483	11-2000	Davidovici et al.	
	US34	7,006,806	02-2006	Wu et al.	
	US35	5,949,821	09-1999	Emami et al.	
	US36	6,370,191	04-2002	Mahant-Shetti et al.	
	US37	6,337,878	01-2002	Endres et al.	
	US38	4,893,316	01-1990	Janc et al.	
	US39	6,463,452	10-2002	M. Schulist et al.	
	US40	6,779,098	08-2004	Sato et al.	

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	FP10					
	FP11					
	FP12					
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	FP14					
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	FP17					
	FP18					

Examiner Signature	Date Considered
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				Filing Date	March 3, 2004
				First Named Inventor	David A. BAER
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				Examiner Name	Hsia, Sherrie Y.
Sheet	3	of	4	Attorney Docket Number	1875.3970001

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	US41	6,438,368	08-2002	Phillips et al.	
	US42	6,430,681	08-2002	F. Nagao	
	US43	4,300,207	11-1981	Eivers et al.	
	US44	2003/0161477	08-2003	Wu et al.	
	US45	5,826,072	10-1998	Knapp et al.	
	US46	4,521,858	06-1985	Kraemer et al.	
	US47	6,725,357	04-2004	J.P. Cousin	
	US48	6,065,112	05-2000	Kishida et al.	
	US49	2003/0198352	10-2003	Easley et al.	
	US50	5,956,494	09-1999	Girardeau et al.	
	US51	6,314,504	11-2001	P.W. Dent	
	US52	5,587,344	11-1997	Mitsubishi et al.	
	US53	4,532,587	07-1985	Roskell et al.	
	US54	5,889,820	03-1999	Adams	
	US55	6,205,223	03-2001	Rao et al.	
	US56	6,859,238	02-2005	D.C. Wu	
	US57	2005/0280742	12-2005	S.T. Jaffe	
	US58	2004/0223086	11-2004	S.T. Jaffe	
	US59	2003/0197810	10-2003	S.T. Jaffe	
	US60	6,492,913	12-2002	Vierthaler et al.	

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	FP19					
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	FP24					
	FP25					
	FP26					
	FP27					

Examiner Signature		Date Considered	
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Sheet	4	of	4	Attorney Docket Number	1875.3970001

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		Number-Kind Code <sup>2</sup> (If Known)			
	US61	6,281,813	08-2001	Vierthaler et al.	
	US62	6,832,078	12-2004	D.C. Wu	
	US63	5,227,863	07-1993	Bilbrey et al.	
	US64	5,596,767	01-1997	Guttag et al.	
	US65	6,819,331	11-2004	Shih et al.	
	US66	2002/0186223	12-2002	G. Sasaki	
	US67	5,828,415	10-1998	Keating et al.	
	US68	5,748,860	05-1998	J.T. Shively	
	US69	6,381,747 B1	04-2002	P.J. Wonfor, D.T. Nelson	
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		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
	FP28					
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	FP35					
	FP36					

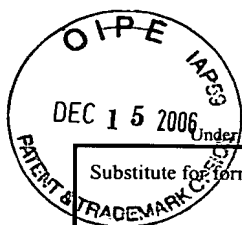
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449/PTO				<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use as many sheets as necessary)				<i>Application Number</i>	10/791,686
				<i>Filing Date</i>	March 3, 2004
				<i>First Named Inventor</i>	David A. BAER
				<i>Art Unit</i>	2622
				<i>Examiner Name</i>	Hsia, Sherrie Y.
Sheet	1	of	1	<i>Attorney Docket Number</i>	1875.3970001

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
	NPL1	Cirrus Logic, "96 kHz Digital Audio Interface Transmitter: Data Sheet CS8405A", November, 1999, 33pp.	
	NPL2	Sanchez, C., Taylor, R., Cirrus Logic, "Overview of Digital Audio Interface Data Structures: App. Note AN22", February, 1998, 10pp.	
	NPL3	European Search Report for European Appl. No. 04017519.2, European Patent Office, mailed on March 10, 2005, 3 pages.	
	NPL4	European Search Report for European Appl. No. 04017521.8, European Patent Office, mailed on October 29, 2004, 3 pages.	
	NPL5		
	NPL6		
	NPL7		
	NPL8		
	NPL9		
	NPL10		

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